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OFFICE OF FINANCE
REFUND BRANCH

2003 APR 21 PM 3:54

US PATENT & TRADEMARK OFFICE
IN THE U.S. DEPT. OF COMMERCE

DEP/ROF
#307

PATENT
1381-0284P

#121 Reg for
refund

08-29-03

Applicant: PETERSSON, Hakan et al. Conf.: 3991
Appl. No.: 10/053,578 Group: 3637
Filed: January 24, 2002 Examiner: T.V. TRAN
For: PROCEDURE AND APPARATUS FOR THE INSTALLATION OF AN
ELEVATOR
Patent No.: Issued:
Control No.:

Assistant Commissioner for Patents
Washington, DC 20231

April 18, 2003

ATTN: W.P. ERWIN, DIRECTOR OF FINANCE
REFUND SECTION
ACCOUNTING DIVISION
OFFICE OF FINANCE

REQUEST FOR REFUND
(Improper Charge of Deposit Account)

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account
02-2448 shown on the statement dated March 1, 2003 for the above-identified

☒ application

☐ patent

☒ A copy of the monthly statement in which the error referred to occurs,
accompanies this request.

Adjustment date: 08/29/2003 EEKUBAY1
03/11/2003 AGRAY1 00000002 022448 10053578
01 FC:1806 180.00 CR

II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
<input type="checkbox"/> extension of term	
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> excess claims	_____
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____
<input type="checkbox"/> patent maintenance fee	
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> patent maintenance fee surcharge	_____
<input checked="" type="checkbox"/> Other: <u>Information Disclosure Statement Fee</u>	<u>180.00</u>

TOTAL REFUND REQUESTED	<u>\$180.00</u>

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

An Information Disclosure Statement was filed on February 28, 2003, a copy of which is attached. It is noted that Box VI (b) was checked in this Information Disclosure Statement, indicating that "no item cited in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three (3) months prior to the filing of the Information Disclosure Statement." This statement was made in accordance with 37 C.F.R. 1.97(c). Accordingly, as the Information Disclosure Statement was filed before issuance of a Notice of Allowance or Final Rejection, and within three (3) months of the date they first became known, no fee is due. Accordingly, it is respectfully requested that the U.S. Patent and Trademark Office refund the \$180.00 fee charged to the deposit account.

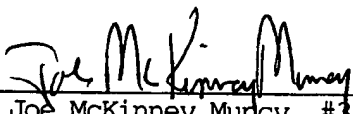
IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 
Joe McKinney Murcy, #32,334

KM/asc
1381-0284P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachment


☒ PTO Logo
Deposit Account Statement

Requested Statement Month: March 2003
Deposit Account Number: 022448
Name: BIRCH STEWART KOLASCH & BIRCH
Attention:
Address: 8110 GATEHOUSE ROAD
City: FALLS CHURCH
State: VA
Zip: 22042

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
03/03	6		L8527	8021	\$40.00	\$25,250.13
03/03	29			9204	-\$84.00	\$25,334.13
03/03	67		2950-0136P	1460	\$130.00	\$25,204.13
03/03	106			8007	\$20.00	\$25,184.13
03/04	1		3722-0132P	2051	-\$65.00	\$25,249.13
03/04	1			1801	\$750.00	\$24,499.13
03/04	1		0033-0701	1251	\$110.00	\$24,389.13
03/04	1		2360-0347P-S	1252	\$300.00	\$24,089.13
03/04	10		3876-0101P	2202	-\$54.00	\$24,143.13
03/04	18		3876-0101P	1252	\$15.00	\$24,128.13
03/04	20		3876-0101P	2202	\$54.00	\$24,074.13
03/04	392			7001	\$335.00	\$23,739.13
03/04	412			7001	\$335.00	\$23,404.13
03/04	423			7001	\$335.00	\$23,069.13
03/04	425			7001	\$335.00	\$22,734.13
03/05	1		0033-0701	1251	-\$110.00	\$22,844.13
03/05	3		3673-0106P-S	1801	\$750.00	\$22,094.13
03/05	5			9204	-\$370.00	\$22,464.13
03/05	14		2658-0291P	8021	\$40.00	\$22,424.13
03/05	62		2870-0198P	1454	\$60.00	\$22,364.13
03/05	67			6203	\$100.00	\$22,264.13
03/05	153			8007	\$20.00	\$22,244.13
03/05	158			8007	\$20.00	\$22,224.13
03/05	167			7001	\$335.00	\$21,889.13
03/06	63		2167-0129P	2202	\$9.00	\$21,880.13
03/06	99		IWP/01	1806	\$180.00	\$21,700.13
03/06	100		IWP/01	2201	\$42.00	\$21,658.13
03/06	101		IWP/01	2252	-\$205.00	\$21,453.13
03/06	121			7001	\$335.00	\$21,118.13
03/06	161			6001	\$335.00	\$20,783.13
03/06	162			6001	\$335.00	\$20,448.13

03/06 163		6001	\$670.00	\$19,778.13
03/06 231		7004	\$150.00	\$19,628.13
03/06 366		7001	\$335.00	\$19,293.13
03/06 857		7001	\$335.00	\$18,958.13
03/07 6		6002	\$100.00	\$18,858.13
03/07 8		9204	-\$445.00	\$19,303.13
03/07 9	4012-0172P	2611	\$520.00	\$18,783.13
03/07 12	2185-0485P	8001	\$24.00	\$18,759.13
03/07 38	0048-0246P	2252	-\$200.00	\$18,959.13
03/07 176		9204	-\$84.00	\$19,043.13
03/07 391		9204	-\$10.00	\$19,053.13
03/07 434	L8514-01002	1601	\$240.00	\$18,813.13
03/07 435	L8514-01002	1704	\$936.00	\$17,877.13
03/07 436	L8514-01002	1702	\$407.00	\$17,470.13
03/07 437	L8514-01002	1703	\$180.00	\$17,290.13
03/07 438	L8514-01002	1707	\$440.00	\$16,850.13
03/07 439	L8514-01002	8007	\$20.00	\$16,830.13
03/10 3	0459-0708P	1202	\$36.00	\$16,794.13
03/10 4	1860-0176P	1814	\$110.00	\$16,684.13
03/10 36	1135	2501	\$650.00	\$16,034.13
03/10 37	1135	1504	\$300.00	\$15,734.13
03/10 47	0505-0806P	1801	\$290.00	\$15,444.13
03/10 49	0505-0806P	1251	\$110.00	\$15,334.13
03/10 98		8007	\$80.00	\$15,254.13
03/10 129	L8362-01004	2051	\$65.00	\$15,189.13
03/10 1147		7001	\$335.00	\$14,854.13
03/11 1	0303-0424P	1253	\$930.00	\$13,924.13
03/11 1	0104-0391P	1814	\$110.00	\$13,814.13
03/11 1	1423-0494P	1806	\$180.00	\$13,634.13
03/11 2 10053578	1381-0284P	1806	\$180.00	\$13,454.13
03/11 4	0757-0264P-S	1202	\$72.00	\$13,382.13
03/11 8	3868-0106P	1618	-\$130.00	\$13,512.13
03/11 15		8014	\$50.00	\$13,462.13
03/11 18	4175-0118P	1051	-\$130.00	\$13,592.13
03/11 18	4013-0173P	2611	\$75.00	\$13,517.13
03/11 25	1248-0507P-S	1402	-\$320.00	\$13,837.13
03/11 39		8007	\$40.00	\$13,797.13
03/11 65	0579-0282T	6001	\$10.00	\$13,787.13
03/11 117	0459-0679P	8024	\$120.00	\$13,667.13
03/11 118	0459-0679P	8007	\$20.00	\$13,647.13
03/11 490		9204	-\$216.00	\$13,863.13
03/12 1	1190-0458P	1251	\$110.00	\$13,753.13
03/12 2	0471-0161P	2251	\$55.00	\$13,698.13
03/12 2	1920-0110P	1251	\$110.00	\$13,588.13
03/12 4	0630-1393P	1251	\$110.00	\$13,478.13
03/12 9		9204	-\$767.00	\$14,245.13
03/12 11	0020-4691P	1501	-\$1,280.00	\$15,525.13
03/12 12	0020-4691P	8001	-\$12.00	\$15,537.13
03/12 13	4086-0126P	1201	-\$84.00	\$15,621.13

03/12 59	████████████████████	3449-0230P	1051	\$130.00	\$15,491.13
03/12 70	████████████████████	3812-0105P	1051	-\$130.00	\$15,621.13
03/12 127	████████████████████		9204	-\$300.00	\$15,921.13
03/13 3	████████████████████		1251	\$110.00	\$15,811.13
03/13 19	████████████████████	2927-0115P	1253	-\$920.00	\$16,731.13
03/13 73	████████████████████		9204	-\$280.00	\$17,011.13
03/13 87	████████████████████	50742	2253	\$465.00	\$16,546.13
03/13 87	████████████████████		9204	-\$80.00	\$16,626.13
03/13 133	████████████████████	3449-0226P	1051	\$130.00	\$16,496.13
03/14 4	████████████████████	3313-0784P	2251	\$55.00	\$16,441.13
03/14 8	████████████████████		9204	-\$250.00	\$16,691.13
03/14 13	████████████████████		9204	-\$280.00	\$16,971.13
03/14 31	████████████████████		1811	\$100.00	\$16,871.13
03/14 87	████████████████████	0951-0112P	1203	\$280.00	\$16,591.13
03/14 234	████████████████████	1190-0466P	1202	\$414.00	\$16,177.13
03/14 235	████████████████████	1190-0466P	1201	\$84.00	\$16,093.13
03/17 1	████████████████████	0425-0967P	1202	\$1,296.00	\$14,797.13
03/17 1	████████████████████	0485-0225FPC	8007	\$20.00	\$14,777.13
03/17 4	████████████████████	0042-0444P-S	1251	-\$110.00	\$14,887.13
03/17 16	████████████████████		9204	-\$730.00	\$15,617.13
03/17 112	████████████████████		8007	\$20.00	\$15,597.13
03/17 161	████████████████████		8503	\$15.00	\$15,582.13
03/17 208	████████████████████		8507	\$15.00	\$15,567.13
03/18 2	████████████████████		9204	-\$130.00	\$15,697.13
03/18 5	████████████████████	54-209P	1202	\$18.00	\$15,679.13
03/18 6	████████████████████	54-209P	1201	\$84.00	\$15,595.13
03/18 18	████████████████████	20-4642P	1401	-\$320.00	\$15,915.13
03/18 19	████████████████████	20-4642P	1253	-\$920.00	\$16,835.13
03/18 126	████████████████████		8513	\$25.00	\$16,810.13
03/18 464	████████████████████		7001	\$1,005.00	\$15,805.13
03/18 508	████████████████████		7001	\$335.00	\$15,470.13
03/18 985	████████████████████		7001	\$335.00	\$15,135.13
03/18 100	████████████████████		7001	\$1,005.00	\$14,130.13
03/19 1	████████████████████	0649-0679P	1251	\$110.00	\$14,020.13
03/19 1	████████████████████	2119-0131P	2201	\$42.00	\$13,978.13
03/19 15	████████████████████	3900-0181FPC	1604	\$210.00	\$13,768.13
03/19 15	████████████████████	1560-0348P	1201	-\$84.00	\$13,852.13
03/19 75	████████████████████		9204	-\$280.00	\$14,132.13
03/19 119	████████████████████	1422-418P	1253	\$10.00	\$14,122.13
03/19 197	████████████████████		8007	\$20.00	\$14,102.13
03/19 206	████████████████████		8513	\$25.00	\$14,077.13
03/19 207	████████████████████		8507	\$15.00	\$14,062.13
03/19 241	████████████████████	0171-0946P	8021	\$40.00	\$14,022.13
03/20 1	████████████████████	0465-0656P-S	1806	\$180.00	\$13,842.13
03/20 9	████████████████████	0505-0648P	1504	-\$300.00	\$14,142.13
03/20 10	████████████████████	0397-0492P-S	1252	\$410.00	\$13,732.13
03/20 15	████████████████████	3560-0126P	1203	\$280.00	\$13,452.13
03/20 62	████████████████████	L8754-01003	2002	\$165.00	\$13,287.13

03/20 93	[REDACTED]	1257-0123P	2051	\$28.00	\$13,259.13
03/20 95	[REDACTED]	1257-0123P	2201	\$42.00	\$13,217.13
03/20 134	[REDACTED]	L8754-01002	2001	\$375.00	\$12,842.13
03/20 135	[REDACTED]		7001	\$670.00	\$12,172.13
03/20 161	[REDACTED]		7001	\$335.00	\$11,837.13
03/20 180	[REDACTED]		7001	\$335.00	\$11,502.13
03/20 185	[REDACTED]		7001	\$335.00	\$11,167.13
03/20 193	[REDACTED]	2185-0481P	1253	\$930.00	\$10,237.13
03/20 198	[REDACTED]		7001	\$335.00	\$9,902.13
03/20 222	[REDACTED]		7001	\$335.00	\$9,567.13
03/20 227	[REDACTED]		7001	\$335.00	\$9,232.13
03/20 251	[REDACTED]		7001	\$335.00	\$8,897.13
03/20 256	[REDACTED]		7001	\$335.00	\$8,562.13
03/20 262	[REDACTED]		7001	\$335.00	\$8,227.13
03/20 270	[REDACTED]		7001	\$335.00	\$7,892.13
03/20 278	[REDACTED]		7001	\$335.00	\$7,557.13
03/20 281	[REDACTED]		7001	\$335.00	\$7,222.13
03/20 295	[REDACTED]		7001	\$335.00	\$6,887.13
03/20 298	[REDACTED]		7001	\$335.00	\$6,552.13
03/20 305	[REDACTED]		7001	\$335.00	\$6,217.13
03/20 564	[REDACTED]		7001	\$335.00	\$5,882.13
03/21 3	[REDACTED]	5050-0788P	1806	\$180.00	\$5,702.13
03/21 10	[REDACTED]		9203	-\$15,000.00	\$20,702.13
03/21 10	[REDACTED]		9204	-\$130.00	\$20,832.13
03/21 26	[REDACTED]	1817-130P	2051	\$65.00	\$20,767.13
03/21 88	[REDACTED]	1163-255PCT	8001	\$12.00	\$20,755.13
03/21 179	[REDACTED]	0071-0389P	1251	\$110.00	\$20,645.13
03/21 392	[REDACTED]		7001	\$335.00	\$20,310.13
03/24 1	[REDACTED]	0994-0208P	1814	\$110.00	\$20,200.13
03/24 2	[REDACTED]	0994-0209P	1814	\$110.00	\$20,090.13
03/24 70	[REDACTED]		8007	\$20.00	\$20,070.13
03/24 124	[REDACTED]	2121-0168P	2051	\$65.00	\$20,005.13
03/24 805	[REDACTED]		7001	\$670.00	\$19,335.13
03/24 813	[REDACTED]		7001	\$670.00	\$18,665.13
03/25 6	[REDACTED]	2185-0522P	1252	\$410.00	\$18,255.13
03/25 6	[REDACTED]		9204	-\$14.00	\$18,269.13
03/25 49	[REDACTED]		7001	\$335.00	\$17,934.13
03/25 120	[REDACTED]		7001	\$335.00	\$17,599.13
03/25 171	[REDACTED]		7004	\$300.00	\$17,299.13
03/25 447	[REDACTED]		7001	\$670.00	\$16,629.13
03/25 929	[REDACTED]		7001	\$335.00	\$16,294.13
03/26 1	[REDACTED]	0471-0252P	8001	\$12.00	\$16,282.13
03/26 5	[REDACTED]	3449-0226P	1051	-\$130.00	\$16,412.13
03/26 61	[REDACTED]	0020-4584P	1504	\$300.00	\$16,112.13
03/26 889	[REDACTED]		7004	\$150.00	\$15,962.13
03/26 988	[REDACTED]		7001	\$335.00	\$15,627.13
03/27 4	[REDACTED]	1599-0211P	1504	-\$300.00	\$15,927.13
03/27 17	[REDACTED]		7001	\$335.00	\$15,592.13
03/27 18	[REDACTED]		7001	\$335.00	\$15,257.13

03/27 65	[REDACTED]	8007	\$20.00	\$15,237.13
03/27 129	[REDACTED]	0397-0456P 1001	\$10.00	\$15,227.13

START
BALANCE
\$25,290.13

SUM OF
CHARGES
\$35,112.00

SUM OF END
REPLENISH BALANCE
\$25,049.00 \$15,227.13

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PATENT
1381-0284P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Hakan PETTERSSON et al. Conf.: 3991
Appl. No.: 10/053,578 Group: 3637
Filed: January 24, 2002 Examiner: TRAN, T.V.
For: PROCEDURE AND APPARATUS FOR THE
INSTALLATION OF AN ELEVATOR

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE
OR CONCURRENTLY WITH A RULE 53(d) CPA APPLICATION
OR WITH A RULE 1.114 RCE APPLICATION)

Assistant Commissioner for Patents
Washington, DC 20231

COPY

February 28, 2003

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

II. COPIES (check at least one box)

- a. ☒ Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. ☐ Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. ☒ DOCUMENTS IN THE ENGLISH LANGUAGE

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

- b. ☐ DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

- c. ☐ ENGLISH LANGUAGE SEARCH REPORT

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

- d. ☐ OTHER

The following additional information is provided for the Examiner's consideration.

FEEES

IV. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b):
(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's and CPA's).
- b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.
- c. ☐ concurrently with the filing of a Continued Prosecution Application under 37 C.F.R. § 1.53(d) or concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.
- d. ☐ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required.
In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. ☒ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

- a. ☐ No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
- or
- b. ☒ See the statement below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. ☐ each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☒ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VII. PAYMENT OF FEES (check one box)

- ☐ A check in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p) is enclosed for the above-identified fee.
- ☐ Please charge Deposit Account No. 02-2448 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. A triplicate copy of this paper is attached.
- ☒ No fee is required.

Appl. No. 10/053,578

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Joe McKinney Muncy
Joe McKinney Muncy, #32,334

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1381-0284P

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Enclosures: ☒ PTO-1449
☒ Documents
☐ Foreign Search Report
☐ Fee
☐ Other:

(Rev. 02/20/03)